

Nanocellulose Paper Barrier Coatings

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Rationale



Plastics Waste

- Only ~9% recycled
- 18 billion lbs. of plastic enters oceans annually
- 40% of plastics used for packaging



Forest Management

- Forest Management underfunded
- Fires are becoming increasingly expensive and impactful



Rationale

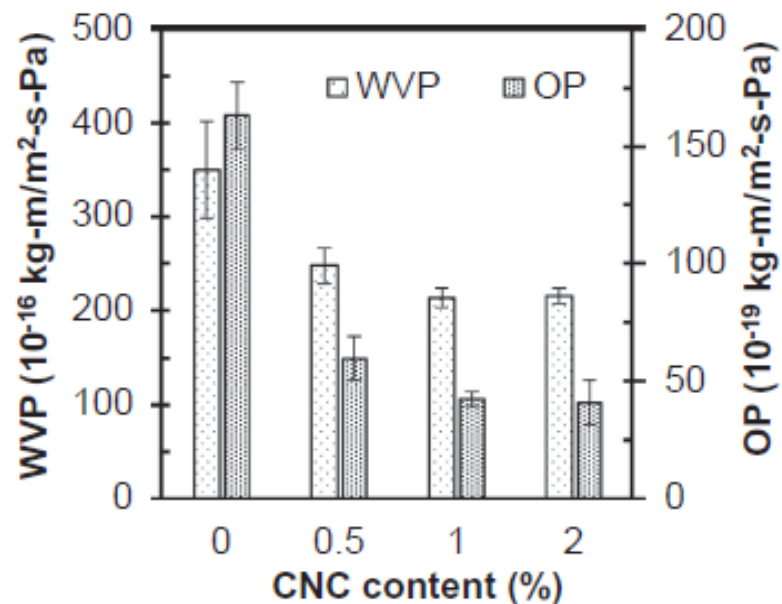
Packaging



- Packaging market is near \$1 T; U.S. barrier film market is ~\$4B
- Single-use packaging is driving growth
- Plastic recycle rate is ~9%; film recycle rate extremely low
- 146 million metric tons of plastic packaging



Previous Work: PLA-CNC composites

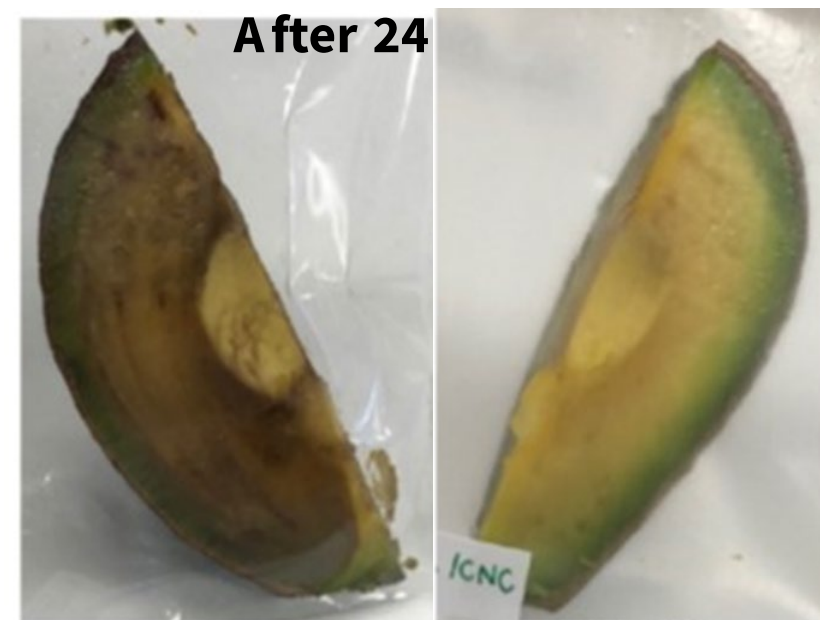


When adding CNCs to PLA

- Water vapor permeability decreased by about 40%
- Oxygen permeability decreased by about 75%

Karkhanis et al. *Composites Part A* 114 (2018) 204–211

Avocados in biobased packaging



PLA film

PLA-CNC film

Wongthanaroj et al. *Applied Food Research* 2 (2022) 100222



Food shelf-life testing

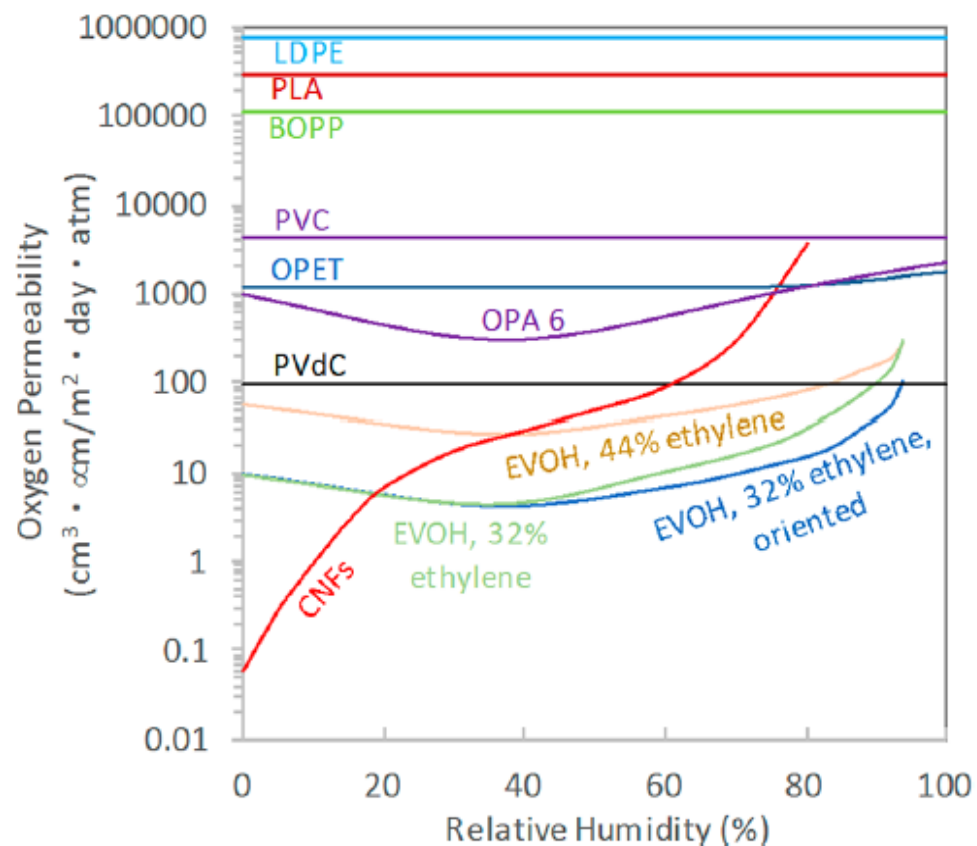


Critical moisture content of 8% represents maximum moisture acceptable for consumers

% RH	Shelf-life (hours)		
	PLA ¹	PLA/1% CNC ¹	Delayed time (hours) to reach CMC
10	Shelf-stable	Shelf-stable	
33	Shelf-stable	Shelf-stable	
50	Shelf-stable	Shelf-stable	
61	63.4 ± 0.6 ^A	86.1 ± 1.6 ^B	22.7
79	42.9 ± 0.7 ^A	59.9 ± 5.1 ^B	17.0
99	14.2 ± 0.2 ^A	20.1 ± 0.4 ^B	5.9



Polymer Oxygen Permeability



Wang et al. ACS Sustainable Chem. Eng. 2018, 6, 49–70

- Barrier films have orders of magnitude oxygen transmission than PLA
- Nanocellulose films have barrier properties comparable to existing barrier polymers
- But cellulose is extremely moisture sensitive



Project Goals & Objectives

Goal

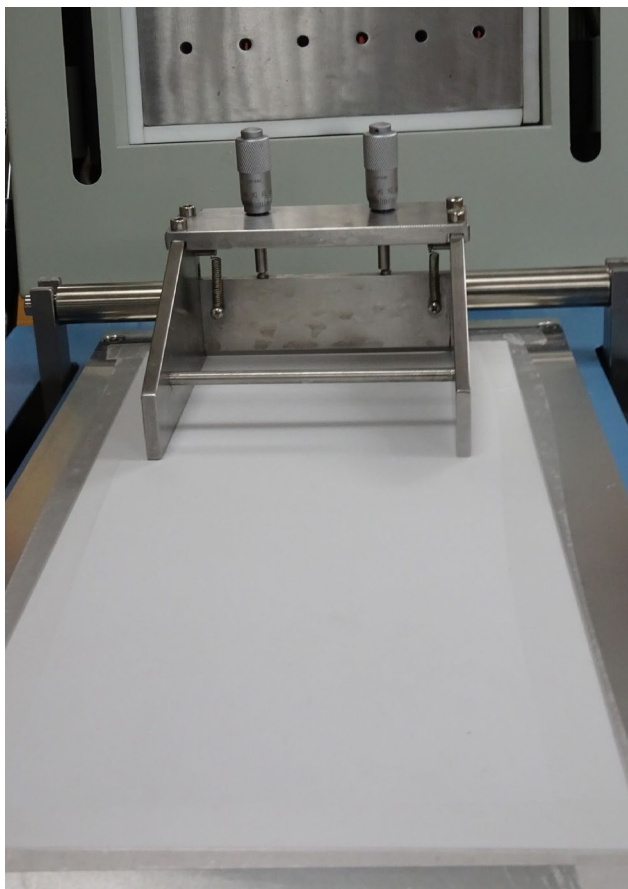
Produce biobased materials with durable oxygen and grease barriers for packaging applications

Objectives

- Evaluate effect of nanocellulose processing and formulations on paper barrier coatings
- Evaluate structure of films & relate to performance/processing
- Evaluate recyclability or biodegradability



Experimental – Coating Paper



- Doctor blade wet thickness of ~20 microns to 1.5 mm
- Coating speeds 1-100 mm/s, typically 12 or 50 mm/s
- CNCs, TEMPO-oxidized CNFs (TOCNs), mechanical CNFs
- Copy paper, Kraft paper, silicone-coated papers



Experimental - Characterization

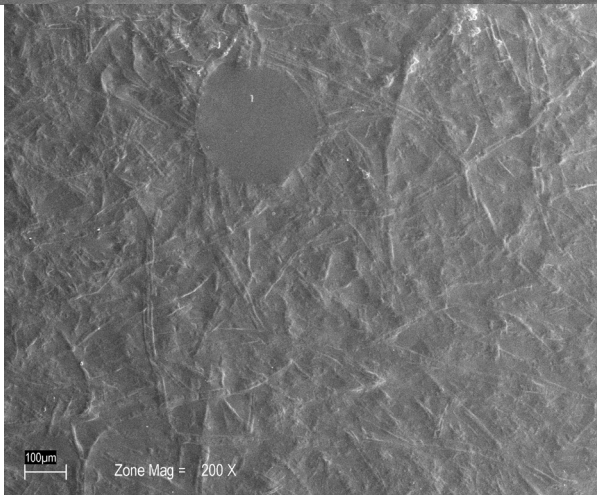
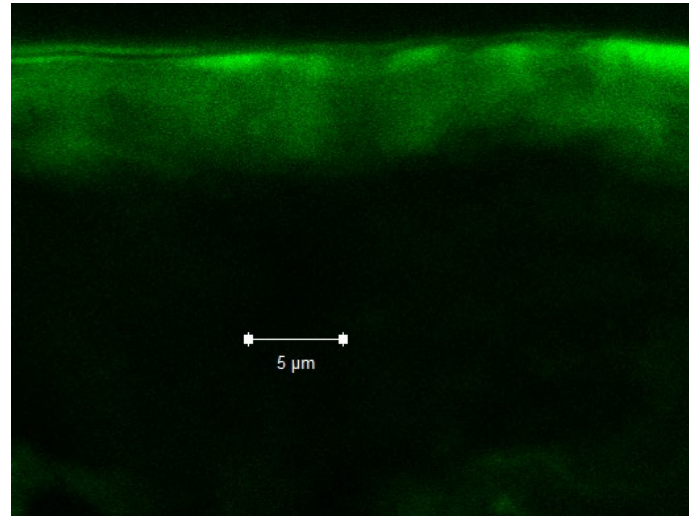
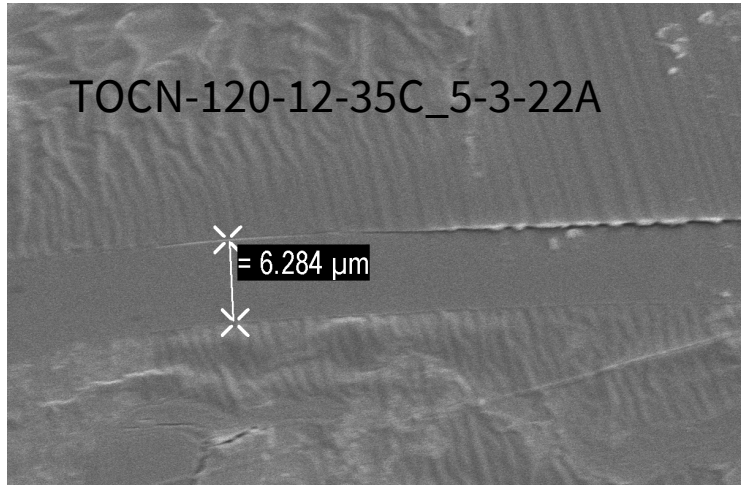
- Oxygen transmission
 - ASTM D3985, MOCON OXTRAN 2/22
- Grease resistance
 - Oil drop method, TAPPI T559
 - Flexible film penetration, TAPPI T507
- Air permeability
 - Gurley method, T460
- Heptane transmission
 - ASTM E96 vapor cup method
(developed for water transmission)

Additional experiments

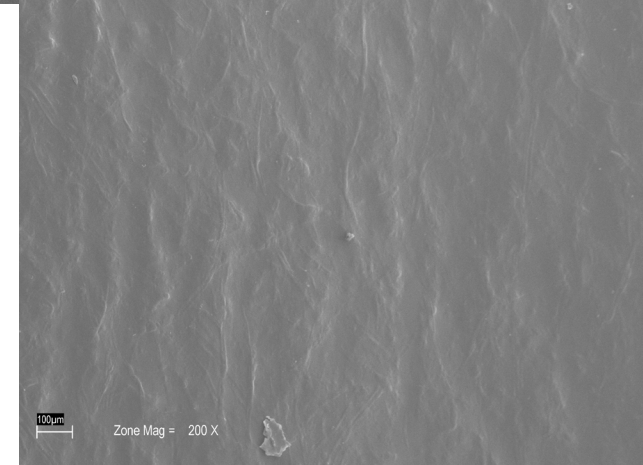
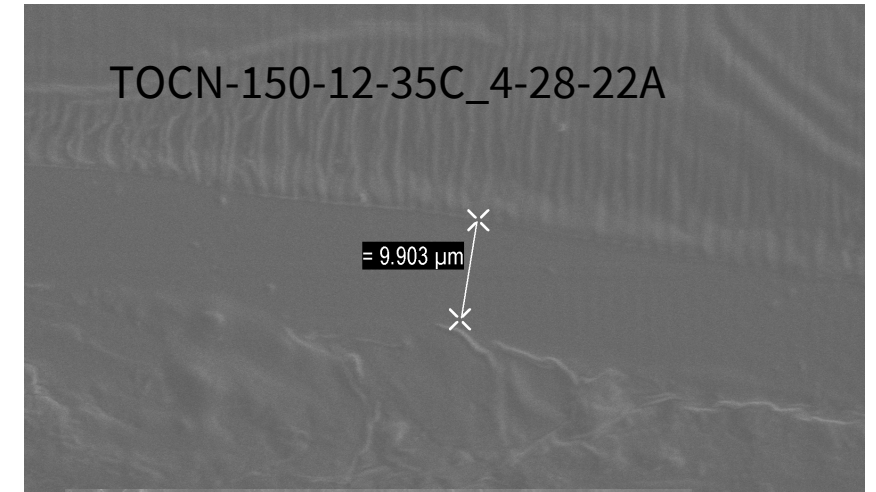
- Microscopy
 - Optical, electron, AFM
- Additional air permeability tests
- Surface roughness
- Optical measurements
- Structural and chemical analyses
- Surface energy
- Mechanical properties



Visualizing TOCN coated papers



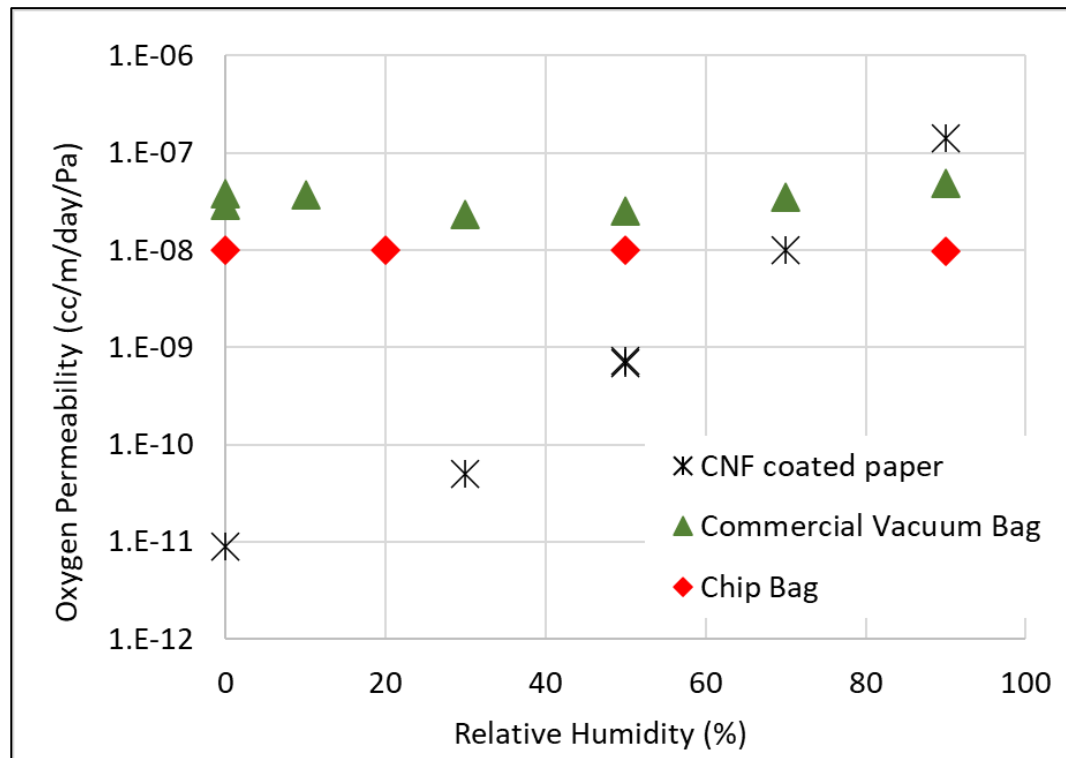
~6 g/m²



~9 g/m²



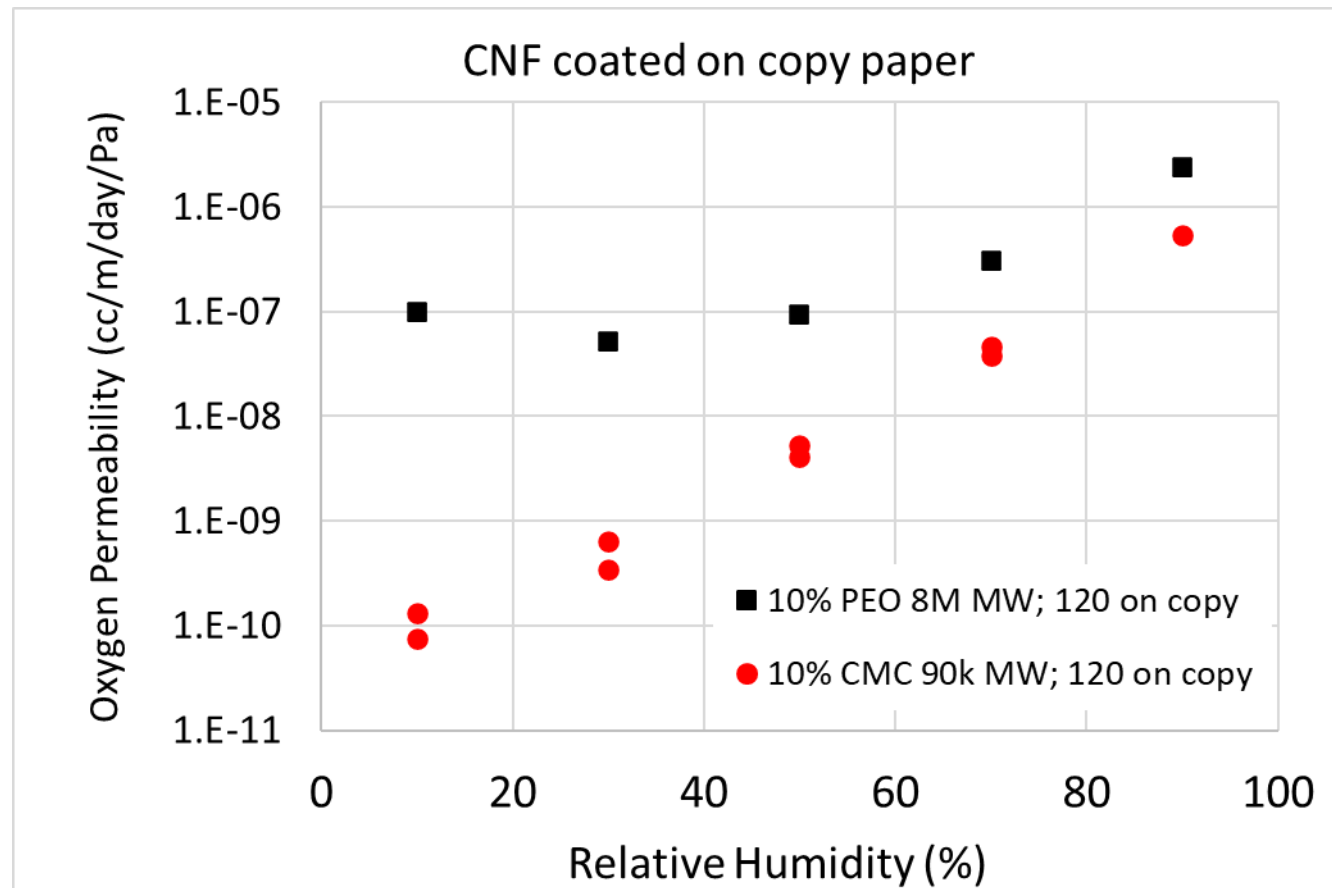
Oxygen Transmission



- Nanocellulose has good vapor barrier properties, especially at low humidity
- Oxygen transmission of CNF coated papers is comparable to or better than commercial barriers at $\leq 70\%$ RH



Oxygen Transmission Coated Papers





Gurley Air Permeability – TAPPI T460



- Want a test for permeabilities above our MOCON limitations
- Standard Gurley method is better suited for high permeability

Copy paper > ~1 g/m² TOCN coat > release paper ~2g/m² TOCN coat > commercial films > thick CN films/coat

Gurley time (100 mL)

15 s

~1,000 s

40,000 s - ∞

∞

MOCON OTR
(cc/m²/day)

EXCEEDS MAXIMUM OTR



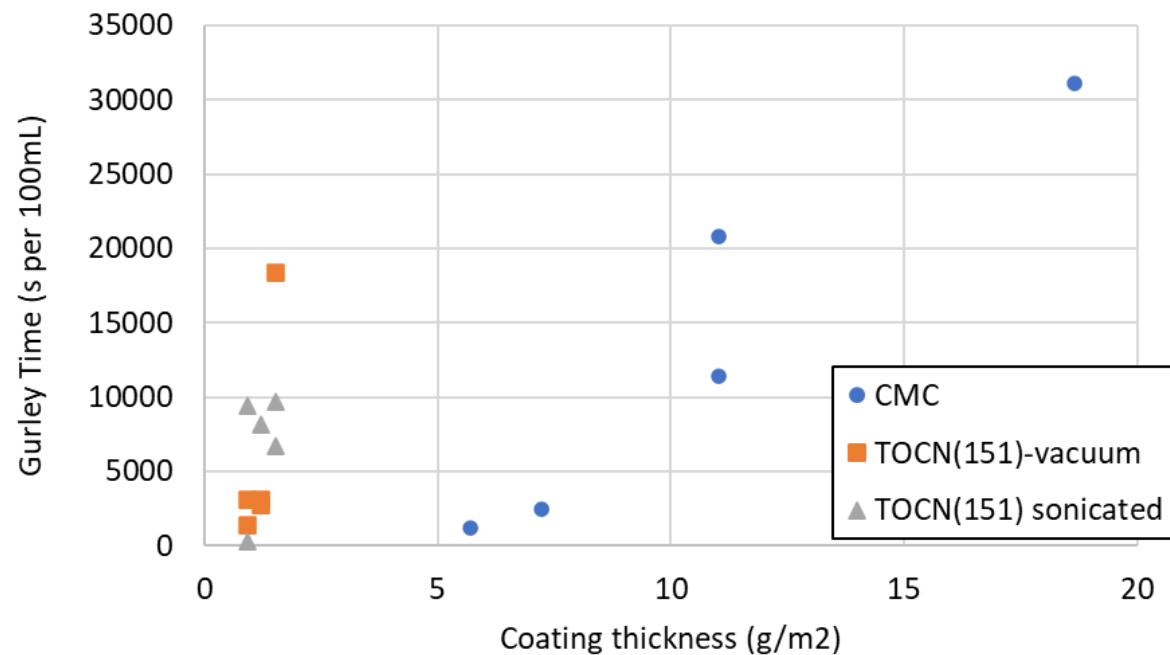
20 - 50

0.01 - 500

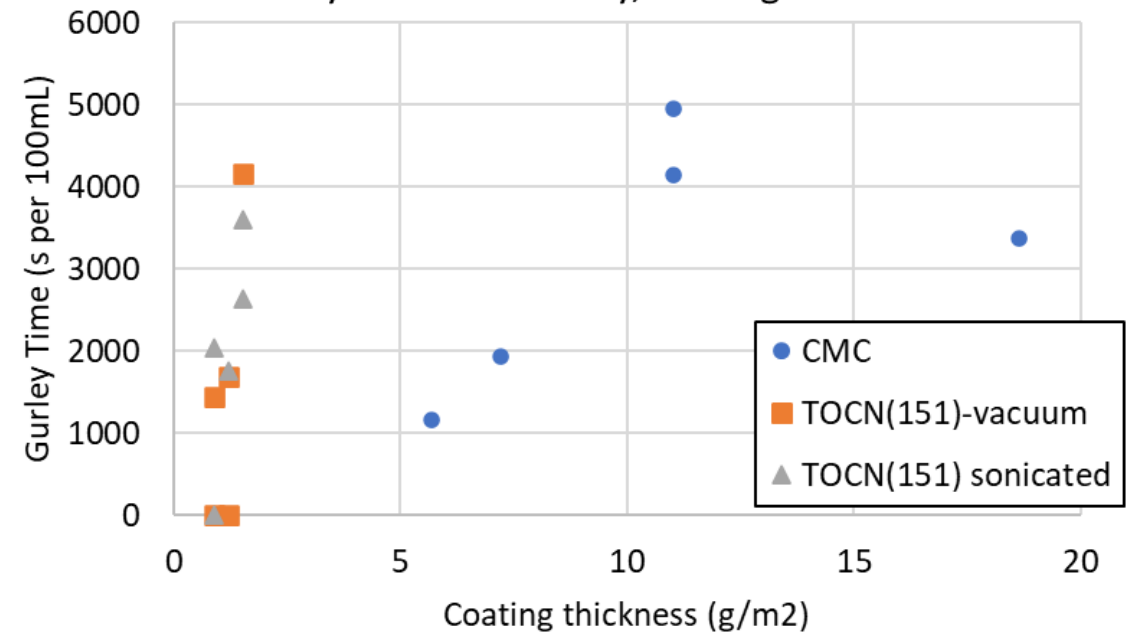


Air Permeability

Gurley Air Permeability, coating up



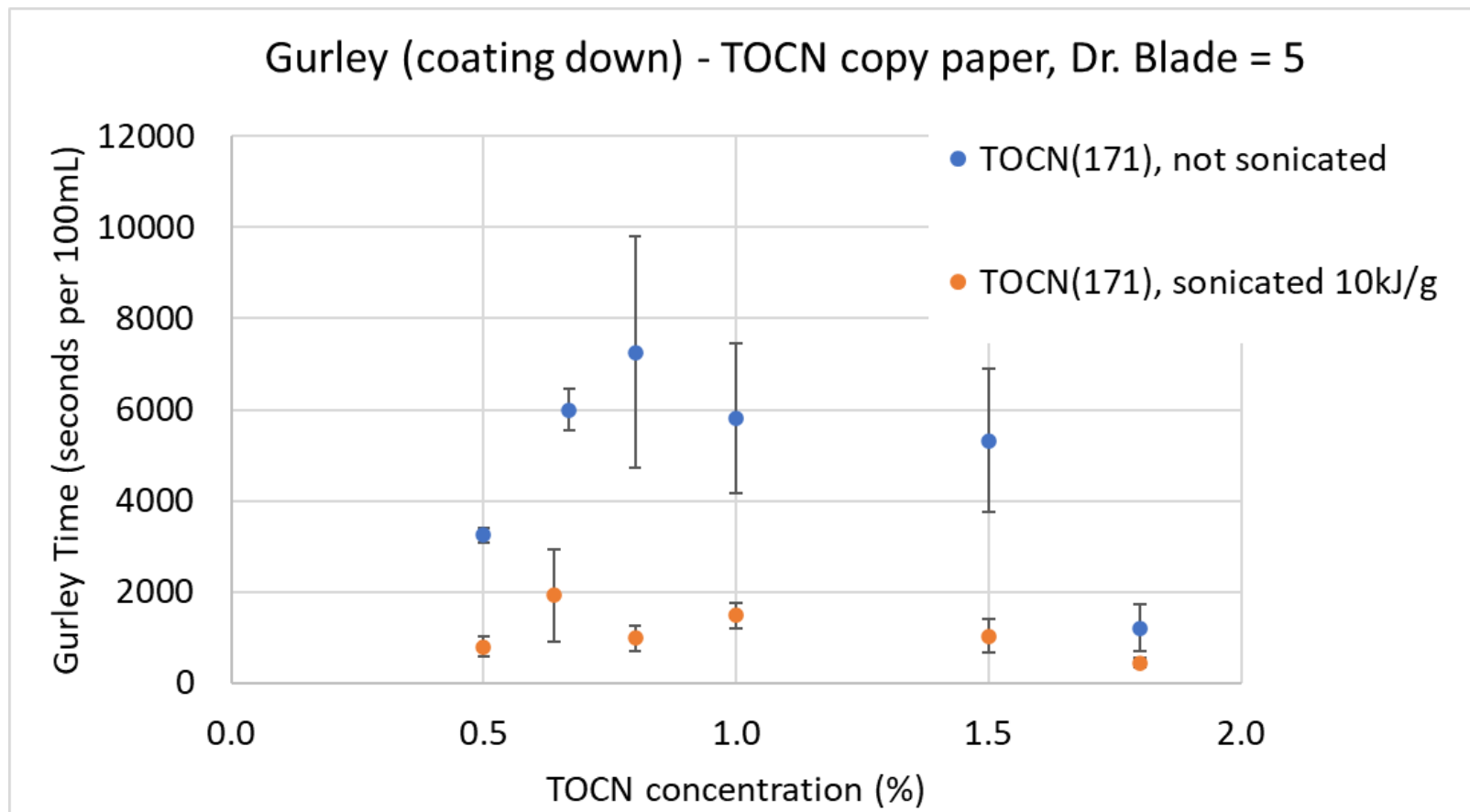
Gurley Air Permeability, coating down



- TOCNs reached high air resistance at lower weights than carboxymethyl cellulose (CMC)
- CNF coating side up shows very high resistance to air at low coating levels



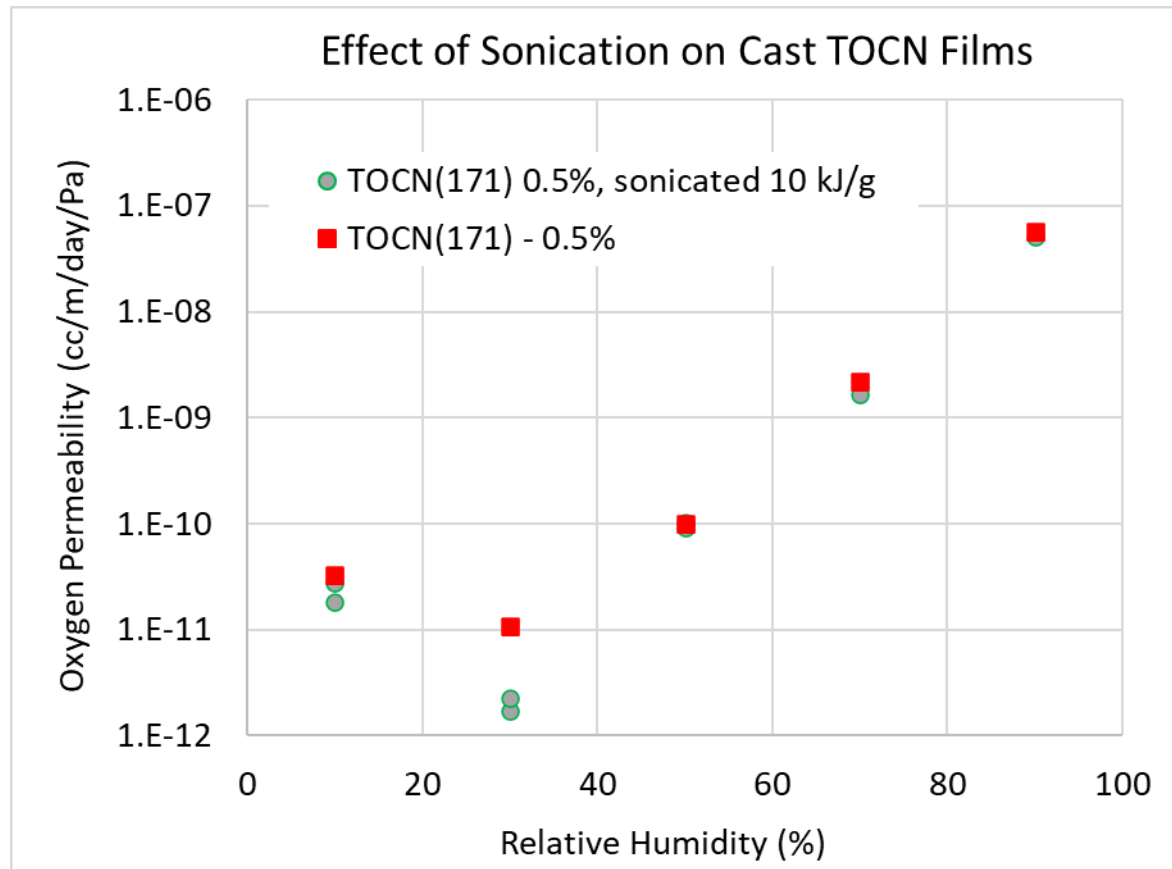
TOCN: Sonication & Concentration



- Sonication of TOCN(171) resulted in increased air permeation
- Was not observed for TOCN (151)
- There appears to be an effect of concentration



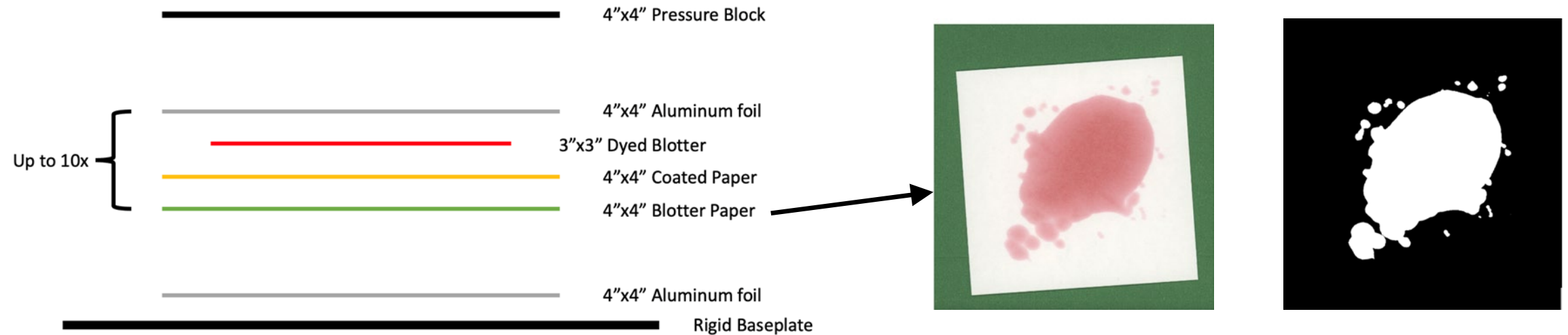
TOCN Sonication



No effect of sonication was seen in oxygen permeability for cast TOCN films



Grease Penetration – TAPPI T557

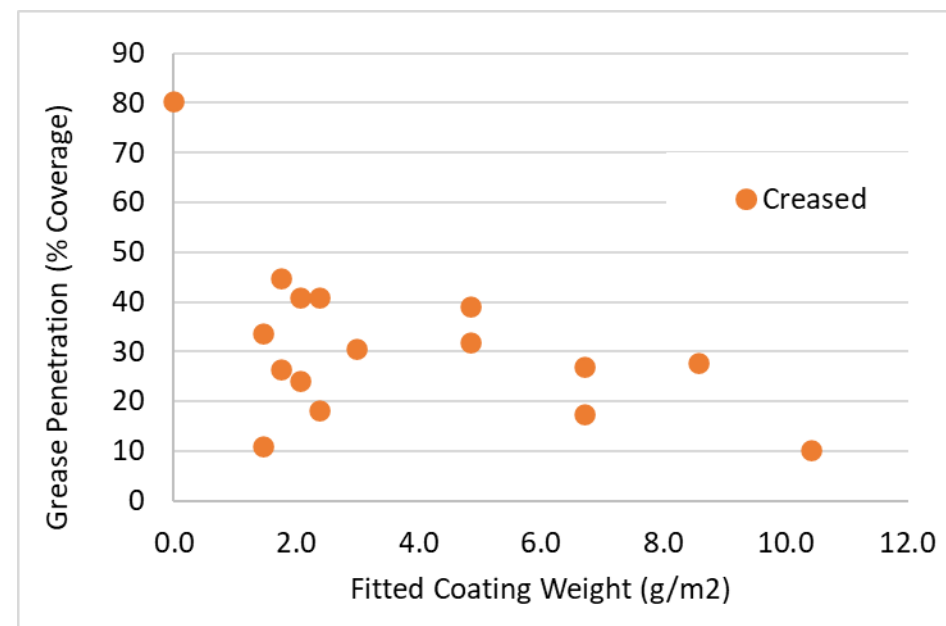
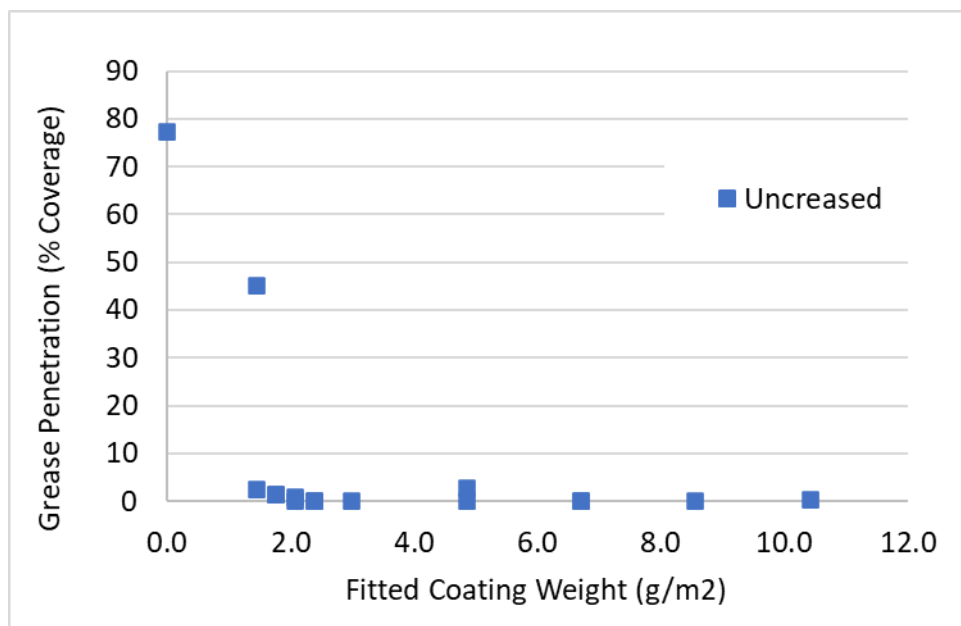


- Test metric is the percent coverage of oil stain on the receiving blotter paper
- Creased paper samples are also tested



Grease Penetration

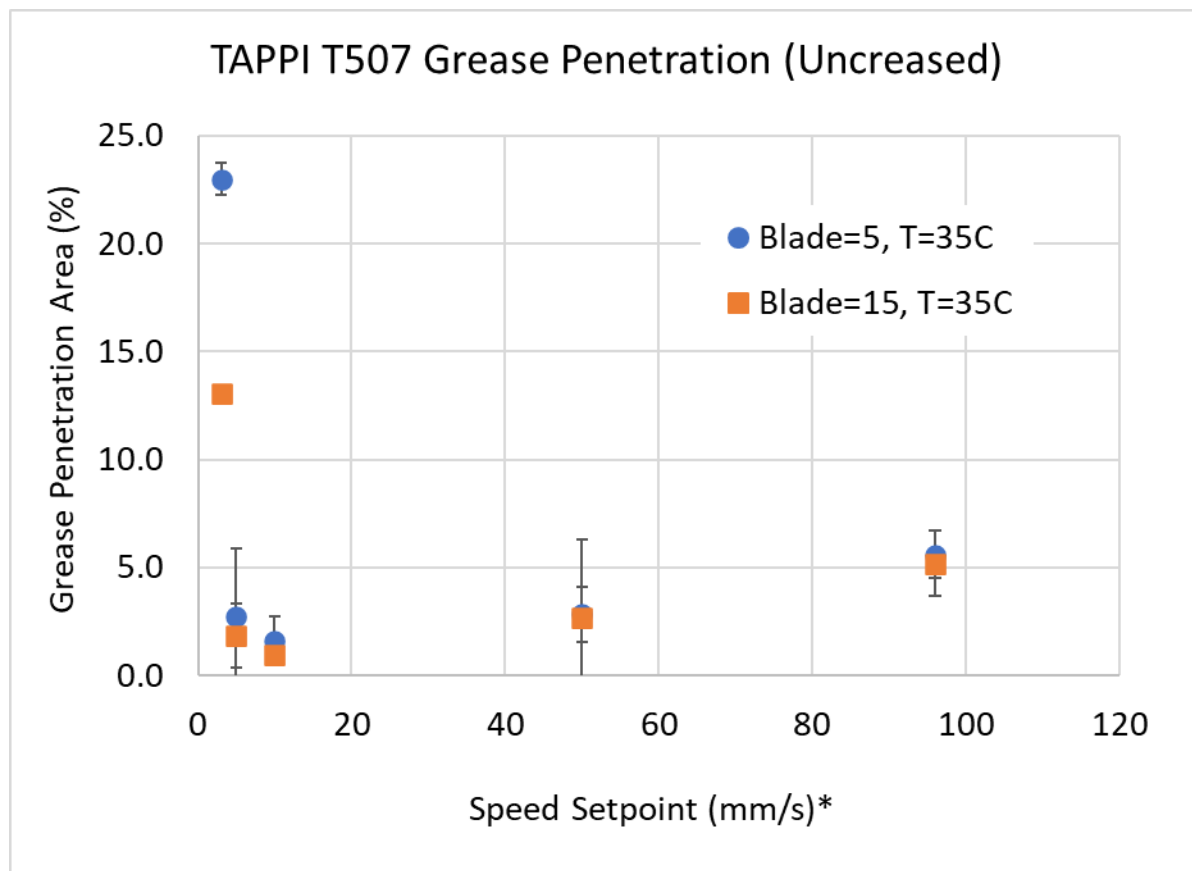
TEMPO-Oxidized CNFs on Copy Paper



- 2 g/m² of TOCNs gives a good grease barrier to coated papers
- Creasing of TOCN coated paper results in significant grease penetration even up to 10 g/m²



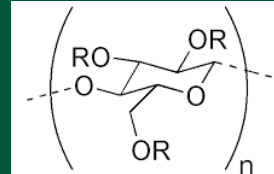
Coating Speed



Blade setting 5: ~40 microns wet; coating ~1 g/m²
Blade setting 15: ~150 microns wet; coating ~2 g/m²

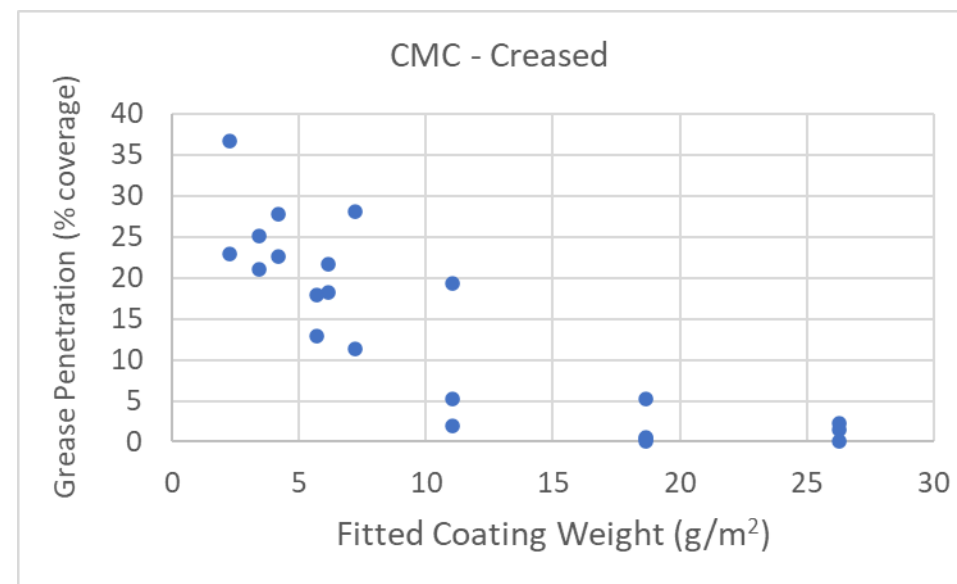
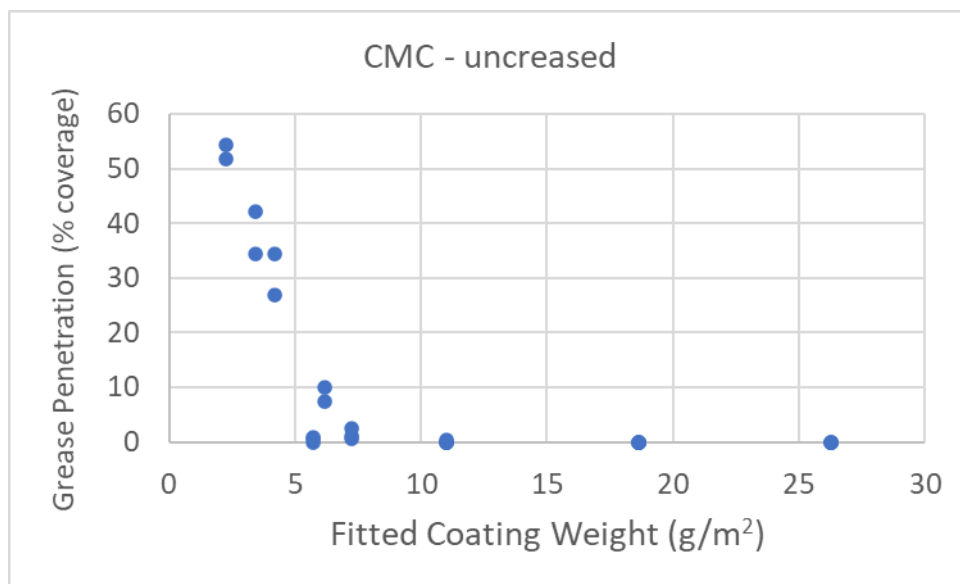


Carboxymethyl Cellulose



R = H or CH₂CO₂H

Grease penetration of CMC-coated copy papers



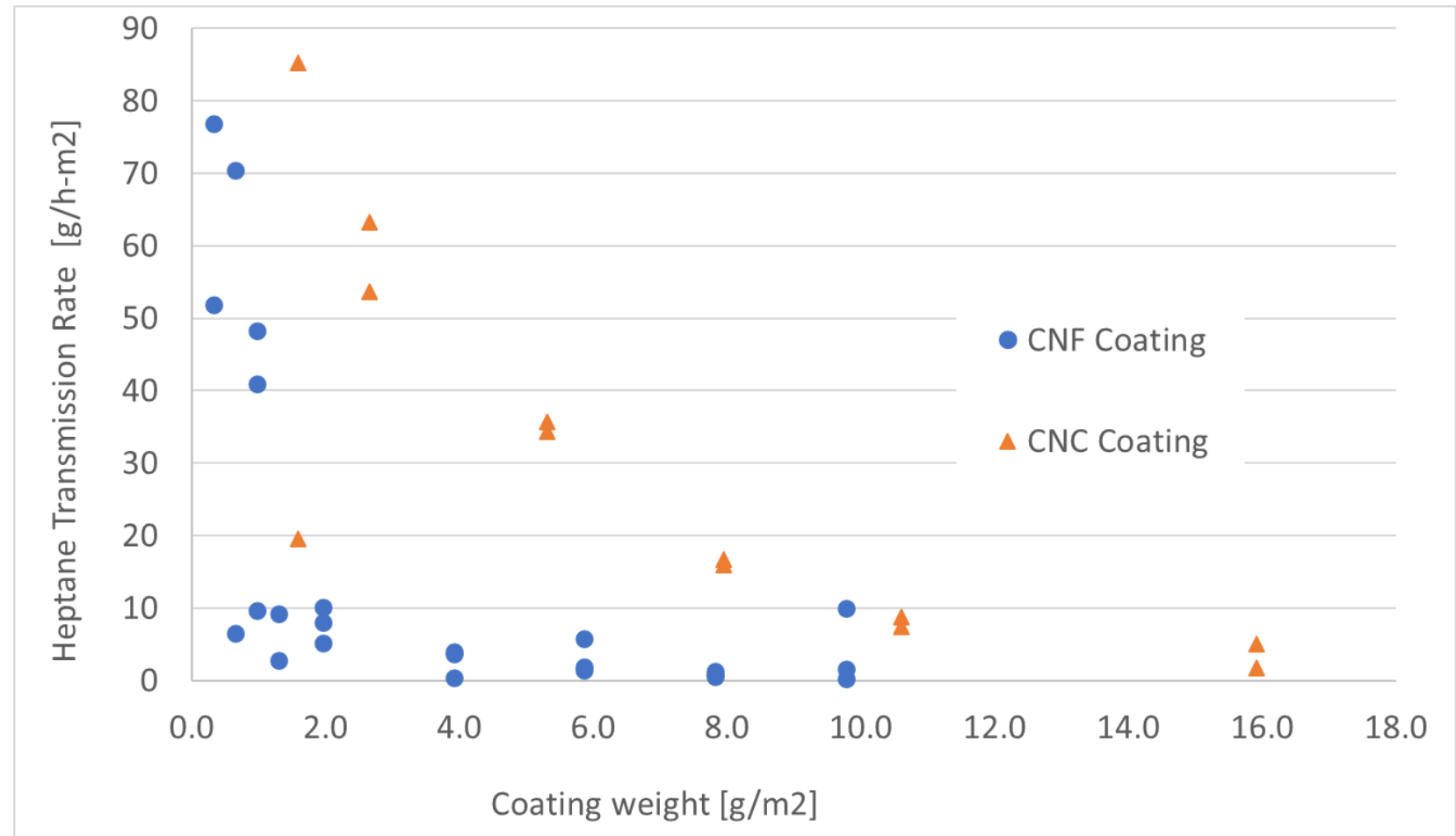
- >5 g/m² of CMC coating is needed to get zero grease penetration of coated papers
- Paper coated with nearly 20 g/m² had near-zero grease penetration upon creasing



Heptane Transmission



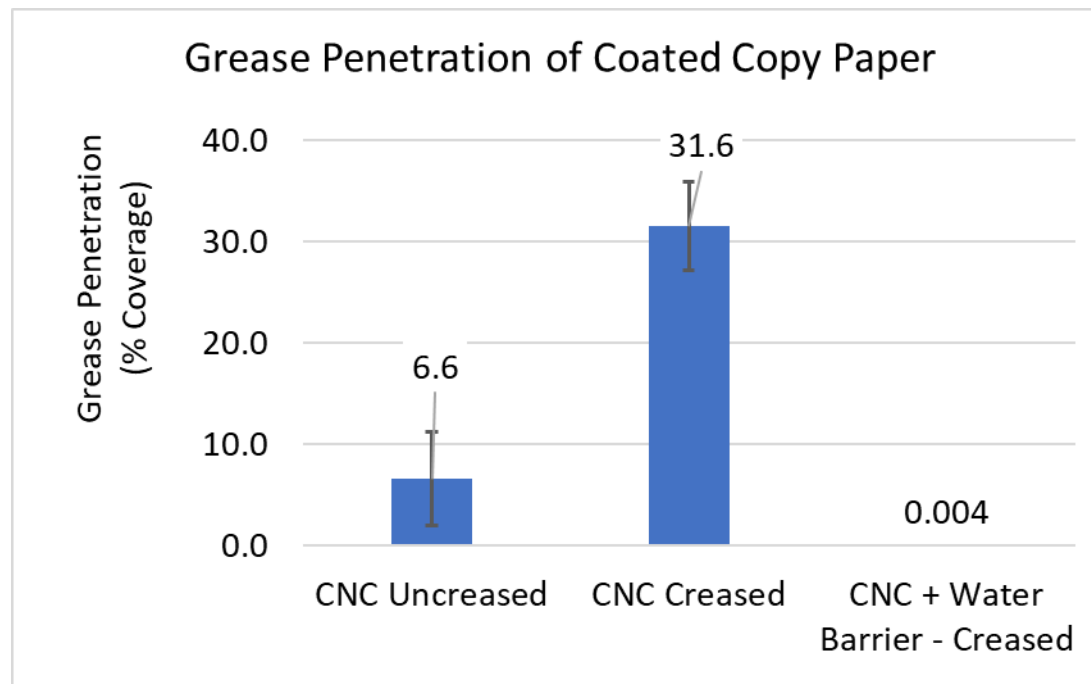
$$\text{Transmission Rate} = \frac{\Delta \text{Mass}}{\text{time} * \text{Area}}$$





Water Barrier Coating

- Applied multiple coatings to same sheet
- First coating CNCs
- Second coating a proprietary waterborne biobased water barrier coating
- Each layer dried separately
- Performed creased grease test
- Tested Oxygen transmission of creased samples



- Synergy with waterborne barrier coatings
- Creased grease penetration was very low for multi-layer coatings

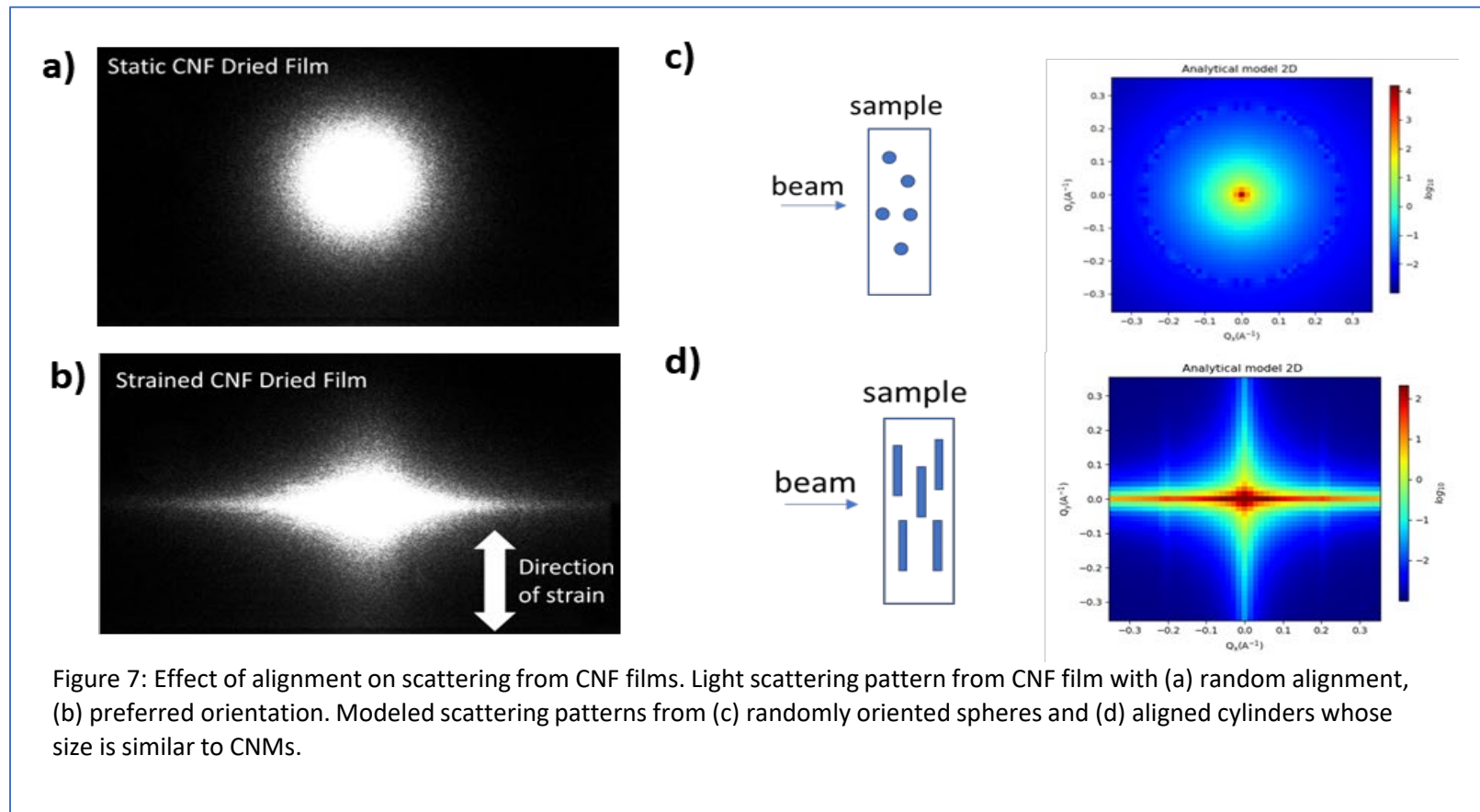


Water Barrier Coating

Oxygen Transmission Rate (cc/m ² /day)				
RH (%)	Oxygen Barrier Only		Water + Oxygen Barrier	
	Uncreased	Creased	Uncreased	Creased
70	n/a	>2000		127
90	n/a	>2000		710



Light scattering





Preliminary Light Scattering

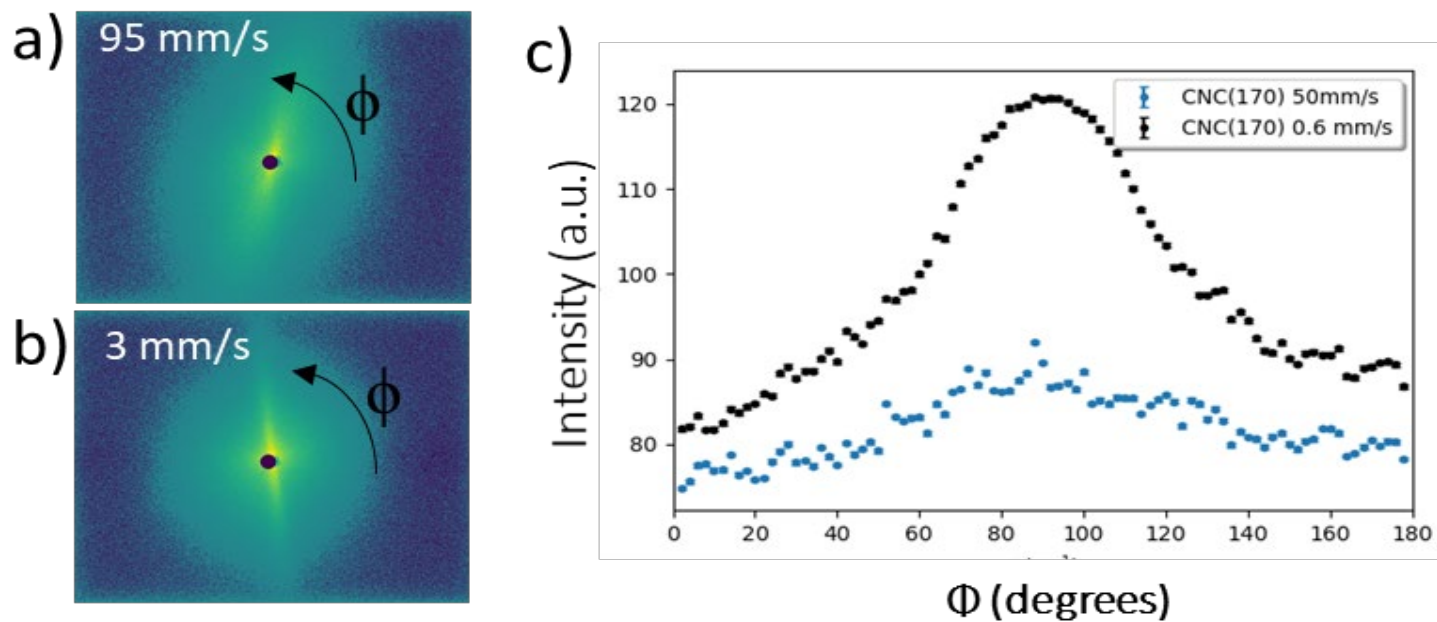


Figure 8: Scattering from blade coated CNC films. Light scattering patterns from CNC films produced with a blade coating speed (a) 95 mm/s and (b) 0.6 mm/s. (c) Azimuthally integrated profiles showing the orientation distribution of the scattering from the CNCs showing the higher alignment at slower speeds.

Conclusions

- Cellulose nanomaterials have excellent oxygen and grease barriers
- Preliminary work showed without additives or additional layers CNFs outperformed CNCs in barrier performance
- Carboxymethyl cellulose is a durable barrier, but thick coatings are needed
- Dewatering/drying is a major challenge for these coatings
- CN morphology and structure appear to affect barrier performance but structure is not well understood



Acknowledgments

- Neil Gribbins, barrier testing
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